

Nomor Surat	306/DIR-PS/X/2017
Nama Emiten	Panin Sekuritas Tbk
Kode Emiten	PANS
Perihal	Penyampaian Laporan Keuangan Interim Yang Tidak Diaudit

Perseroan dengan ini menyampaikan laporan keuangan untuk periode 9 Bulan yang berakhir pada 30/09/2017 dengan ikhtisar sebagai berikut :

Informasi mengenai anak perusahaan Perseroan sebagai berikut :

No	Nama	Kegiatan Usaha	Lokasi	Tahun Komersil	Status Operasi	Jumlah Aset	Satuan	Mata Uang	Persentase (%)
1	PT Patria Investama	Perdagangan dan Jasa Manajemen	Jakarta	0	-	52.366.253	RIBUAN	IDR	49.0
2	PT Panin Asset Management	Manajer Investasi	Jakarta	2011	Sudah beroperasi	242.926.304	RIBUAN	IDR	90.0

Dokumen ini merupakan dokumen resmi Panin Sekuritas Tbk yang tidak memerlukan tanda tangan karena dihasilkan secara elektronik. Panin Sekuritas Tbk bertanggung jawab penuh atas informasi tertera di dalam dokumen ini.

[1000000] General information

Informasi umum		General information	
30 September 2017			
Nama entitas	Panin Sekuritas Tbk	Entity name	
Penjelasan perubahan nama dari akhir periode laporan sebelumnya		Explanation of change in name from the end of the preceding reporting period	
Kode entitas	PANS	Entity code	
Nomor identifikasi entitas	AA332	Entity identification number	
Industri utama entitas	Sekuritas / Securities	Entity main industry	
Sektor	8. Finance	Sector	
Subsektor	83. Securities Company	Subsector	
Informasi pemegang saham pengendali	National Corporation	Controlling shareholder information	
Jenis entitas	Local Company - Indonesia Jurisdiction	Type of entity	
Jenis efek yang dicatatkan	Saham / Stock	Type of listed securities	
Jenis papan perdagangan tempat entitas tercatat	Utama / Main	Type of board on which the entity is listed	
Apakah merupakan laporan keuangan satu entitas atau suatu kelompok entitas	Entitas grup / Group entity	Whether the financial statements are of an individual entity or a group of entities	
Periode penyampaian laporan keuangan	Kuartal III / Third Quarter	Period of financial statements submissions	
Tanggal awal periode berjalan	January 01, 2017	Current period start date	
Tanggal akhir periode berjalan	September 30, 2017	Current period end date	
Tanggal akhir tahun sebelumnya	December 31, 2016	Prior year end date	
Tanggal awal periode sebelumnya	January 01, 2016	Prior period start date	
Tanggal akhir periode sebelumnya	September 30, 2016	Prior period end date	
Mata uang pelaporan	Rupiah / IDR	Description of presentation currency	
Kurs konversi pada tanggal pelaporan jika mata uang penyajian selain rupiah		Conversion rate at reporting date if presentation currency is other than rupiah	
Pembulatan yang digunakan dalam penyajian jumlah dalam laporan keuangan	Satuan Penuh / Full Amount	Level of rounding used in financial statements	
Jenis laporan atas laporan keuangan	Tidak Diaudit / Unaudit	Type of report on financial statements	
Jenis opini auditor		Type of auditor's opinion	
Hal yang diungkapkan dalam paragraf pendapat untuk penekanan atas suatu masalah atau paragraf penjelasan lainnya, jika ada		Matters disclosed in emphasis-of-matter or other-matter paragraph, if any	
Hasil penugasan review		Result of review engagement	
Tanggal laporan audit atau hasil laporan review		Date of auditor's opinion or result of review report	
Auditor tahun berjalan		Current year auditor	
Nama partner audit tahun berjalan		Name of current year audit signing partner	
Lama tahun penugasan partner yang menandatangani		Number of years served as audit signing partner	
Auditor tahun sebelumnya		Prior year auditor	
Nama partner audit tahun sebelumnya		Name of prior year audit signing partner	
Kepatuhan terhadap pemenuhan peraturan BAPEPAM LK VIII G 11 tentang tanggung jawab direksi atas laporan keuangan	Ya / Yes	Whether in compliance with BAPEPAM LK VIII G 11 rules concerning responsibilities of board of directors on financial statements	
Kepatuhan terhadap pemenuhan peraturan BAPEPAM LK VIII A dua tentang independensi akuntan yang	Ya / Yes	Whether in compliance with BAPEPAM LK VIII A two rules concerning independence of accountant providing	

[5220000] Statement of financial position presented using order of liquidity - Securities Industry

Laporan posisi keuangan			Statement of financial position
	<u>30 September 2017</u>	<u>31 December 2016</u>	
Aset			Assets
Kas dan setara kas	22,462,463,395	15,219,197,700	Cash and cash equivalents
Deposito pada lembaga kliring dan penjaminan	13,859,083,400	12,458,916,157	Deposits to clearing and settlement guarantee institution
Piutang dari lembaga kliring dan penjaminan	239,341,406,300	349,760,697,700	Receivables from clearing and settlement guarantee institution
Piutang nasabah			Receivables from customers
Piutang nasabah pihak ketiga	565,150,637,134	874,744,047,349	Receivables from customers third parties
Piutang nasabah pihak berelasi	2,643,314,095	10,955,227,190	Receivables from customers related parties
Piutang kegiatan manajer investasi	19,162,811,762	23,105,221,471	Receivables from investment manager
Piutang lainnya	2,946,628,759	847,669,788	Other receivables
Efek yang diperdagangkan	949,064,574,002	902,817,153,495	Marketable securities
Efek yang dibeli dengan janji dijual kembali	290,095,545,512	138,159,745,631	Securities purchased under agreement to resale
Pendapatan yang masih harus diterima	334,229,568	321,782,598	Accrued income
Pajak dibayar dimuka	4,973,681,717	0	Prepaid taxes
Biaya dibayar dimuka	12,020,889,125	11,139,443,038	Prepaid expenses
Penyertaan pada bursa efek indonesia	195,000,000	195,000,000	Investments in shares of indonesia stock exchange
Aset pajak tangguhan	2,582,983,963	2,787,981,306	Deferred tax assets
Aset pengampunan pajak	387,825,000	387,825,000	Tax amnesty assets
Aset tetap	27,586,016,226	29,420,442,979	Property and equipment
Aset lainnya	5,268,245,942	5,052,082,672	Other assets
Jumlah aset	2,158,075,335,900	2,377,372,434,074	Total assets
Liabilitas dan ekuitas			Liabilities and equity
Liabilitas			Liabilities
Utang bank	400,000,000,000	232,000,000,000	Bank loans
Utang kepada lembaga kliring dan penjaminan	170,556,135,300	406,551,212,400	Payables to clearing and settlement guarantee institution
Utang nasabah			Payables to customers
Utang nasabah pihak ketiga	266,878,707,711	396,546,046,713	Payables to customers third parties
Utang nasabah pihak berelasi	42,780,058,646	63,082,520,025	Payables to customers related parties
Utang kegiatan manajer investasi	2,681,244,906	1,174,035,640	Payables to investment managers
Utang dividen	2,100,000,000	0	Dividends payable
Beban akrual	6,382,447,288	8,123,086,716	Accrued expenses
Utang pajak	5,616,607,934	12,813,765,281	Taxes payable
Kewajiban imbalan pasca kerja	8,793,409,760	8,953,789,372	post-employment benefit obligations
Jumlah liabilitas	905,788,611,545	1,129,244,456,147	Total liabilities
Ekuitas			Equity

Ekuitas yang diatribusikan kepada pemilik entitas induk			Equity attributable to equity owners of parent entity
Saham biasa	90,000,000,000	90,000,000,000	Common stocks
Tambahan modal disetor	4,973,455,888	4,973,455,888	Additional paid-in capital
Saham treasury	(18,409,806,814)	(18,409,806,814)	Treasury stocks
Cadangan revaluasi	6,858,068,622	6,858,068,622	Revaluation reserves
Cadangan pengukuran kembali program imbalan pasti	(437,797,857)	(437,797,857)	Reserve of remeasurements of defined benefit plans
Saldo laba (akumulasi kerugian)			Retained earnings (deficit)
Saldo laba yang telah ditentukan penggunaannya	6,729,684,302	6,529,684,302	Appropriated retained earnings
Saldo laba yang belum ditentukan penggunaannya	1,144,453,420,690	1,139,485,728,422	Unappropriated retained earnings
Jumlah ekuitas yang diatribusikan kepada pemilik entitas induk	1,234,167,024,831	1,228,999,332,563	Total equity attributable to equity owners of parent entity
Kepentingan non-pengendali	18,119,699,524	19,128,645,364	Non-controlling interests
Jumlah ekuitas	1,252,286,724,355	1,248,127,977,927	Total equity
Jumlah liabilitas dan ekuitas	2,158,075,335,900	2,377,372,434,074	Total liabilities and equity

[5311000] Statement of profit or loss and other comprehensive income, OCI components presented net of tax, by function - Securities Industry

Laporan laba rugi dan penghasilan komprehensif lain	30 September 2017	30 September 2016	Statement of profit or loss and other comprehensive income
Pendapatan kegiatan perantara perdagangan efek	47,449,884,776	46,961,031,280	Income from brokerage activity
Pendapatan kegiatan penjamin emisi dan penjualan efek	2,022,596,342	252,881,986	Income from underwriting activities and selling fees
Pendapatan atas pembiayaan transaksi nasabah	60,129,243,889	70,054,254,982	Income from financing transactions
Pendapatan kegiatan jasa manajer investasi	177,424,360,059	228,300,833,592	Income from investment management services
Keuntungan (kerugian) dari transaksi perdagangan efek yang telah direalisasi	4,867,572,980	5,664,482,683	Realised gains (losses) on trading of marketable securities
Keuntungan (kerugian) perubahan nilai wajar efek	38,515,302,217	118,143,111,856	Gains (losses) on changes in fair value of marketable securities
Beban umum dan administrasi	(27,409,204,230)	(27,955,677,090)	General and administrative expenses
Beban penjualan	(133,937,166,474)	(131,597,201,060)	Selling expenses
Pendapatan keuangan	1,183,513,811	770,489,082	Finance income
Beban keuangan	(12,972,702,969)	(21,756,083,221)	Finance costs
Keuntungan (kerugian) selisih kurs mata uang asing	13,788,035	(289,905,116)	Gains (losses) on foreign exchange transactions
Pendapatan lainnya	1,006,808,190	930,286,183	Other income
Keuntungan (kerugian) lainnya	723,404,545	590,909	Other gains (losses)
Jumlah laba (rugi) sebelum pajak penghasilan	159,017,401,171	289,479,096,066	Total profit (loss) before tax
Pendapatan (beban) pajak	(23,734,092,743)	(35,685,352,760)	Tax benefit (expenses)
Jumlah laba (rugi) dari operasi yang dilanjutkan	135,283,308,428	253,793,743,306	Total profit (loss) from continuing operations
Jumlah laba (rugi)	135,283,308,428	253,793,743,306	Total profit (loss)
Pendapatan komprehensif lainnya, setelah pajak			Other comprehensive income, after tax
Pendapatan komprehensif lainnya yang tidak akan direklasifikasi ke laba rugi, setelah pajak			Other comprehensive income that will not be reclassified to profit or loss, after tax
Pendapatan komprehensif lainnya atas keuntungan (kerugian) hasil revaluasi aset tetap, setelah pajak	0	6,785,869,500	Other comprehensive income for gains (losses) on revaluation of property and equipment, after tax
Jumlah pendapatan komprehensif lainnya, setelah pajak	0	6,785,869,500	Total other comprehensive income, after tax
Jumlah laba rugi komprehensif	135,283,308,428	260,579,612,806	Total comprehensive income
Laba (rugi) yang dapat diatribusikan			Profit (loss) attributable to
Laba (rugi) yang dapat diatribusikan ke entitas induk	133,792,254,268	249,643,881,946	Profit (loss) attributable to parent entity
Laba (rugi) yang dapat diatribusikan ke kepentingan non-pengendali	1,491,054,160	4,149,861,360	Profit (loss) attributable to non-controlling interests

Laba rugi komprehensif yang dapat diatribusikan			Comprehensive income attributable to
Laba rugi komprehensif yang dapat diatribusikan ke entitas induk	133,792,254,268	256,429,751,446	Comprehensive income attributable to parent entity
Laba rugi komprehensif yang dapat diatribusikan ke kepentingan non-pengendali	1,491,054,160	4,149,861,360	Comprehensive income attributable to non-controlling interests
Laba (rugi) per saham			Earnings (loss) per share
Laba per saham dasar diatribusikan kepada pemilik entitas induk			Basic earnings per share attributable to equity owners of the parent entity
Laba (rugi) per saham dasar dari operasi yang dilanjutkan	187.23	349.36	Basic earnings (loss) per share from continuing operations
Laba (rugi) per saham dilusian			Diluted earnings (loss) per share
Laba (rugi) per saham dilusian dari operasi yang dilanjutkan	187.23	349.36	Diluted earnings (loss) per share from continuing operations

[5410000] Statement of changes in equity - Securities Industry - Current Year

30 September 2017

Laporan perubahan ekuitas

Statement of changes in equity

	Saham biasa	Tambahan modal disetor	Saham tresuri	Cadangan revaluasi	Cadangan pengukuran kembali program imbalan pasti	Saldo laba yang telah ditentukan penggunaannya	Saldo laba yang belum ditentukan penggunaannya	Ekuitas yang dapat diatribusikan kepada entitas induk	Kepentingan non-pengendali	Ekuitas	
	Common stocks	Additional paid-in capital	Treasury stocks	Revaluation reserves	Reserve of remeasurements of defined benefit plans	Appropriated retained earnings	Unappropriated retained earnings	Equity attributable to parent entity	Non-controlling interests	Equity	Equity position
Posisi ekuitas											
Saldo awal periode sebelum penyajian kembali	90,000,000,000	4,973,455,888	(18,409,806,814)	6,858,068,622	(437,797,857)	6,529,684,302	1,139,485,728,422	1,228,999,332,563	19,128,645,364	1,248,127,977,927	Balance before restatement at beginning of period
Posisi ekuitas, awal periode	90,000,000,000	4,973,455,888	(18,409,806,814)	6,858,068,622	(437,797,857)	6,529,684,302	1,139,485,728,422	1,228,999,332,563	19,128,645,364	1,248,127,977,927	Equity position, beginning of the period
Laba (rugi)							133,792,254,268	133,792,254,268	1,491,054,160	135,283,308,428	Profit (loss)
Pembentukan cadangan umum						200,000,000	(200,000,000)	0			Allocation for general reserves
Distribusi dividen kas							(128,624,562,000)	(128,624,562,000)	(2,500,000,000)	(131,124,562,000)	Distributions of cash dividends
Posisi ekuitas, akhir periode	90,000,000,000	4,973,455,888	(18,409,806,814)	6,858,068,622	(437,797,857)	6,729,684,302	1,144,453,420,690	1,234,167,024,831	18,119,699,524	1,252,286,724,355	Equity position, end of the period

[5410000] Statement of changes in equity - Securities Industry - Prior Year

30 September 2016

Laporan perubahan ekuitas

Statement of changes in equity

	Saham biasa	Tambahan modal disetor	Saham treasuri	Cadangan revaluasi	Cadangan pengukuran kembali program imbalan pasti	Saldo laba yang telah ditentukan penggunaannya	Saldo laba yang belum ditentukan penggunaannya	Ekuitas yang dapat diatribusikan kepada entitas induk	Kepentingan non-pengendali	Ekuitas	
	Common stocks	Additional paid-in capital	Treasury stocks	Revaluation reserves	Reserve of remeasurements of defined benefit plans	Appropriated retained earnings	Unappropriated retained earnings	Equity attributable to parent entity	Non-controlling interests	Equity	Equity position
Posisi ekuitas											Equity position
Saldo awal periode sebelum penyajian kembali	90,000,000,000	4,585,630,888	(18,409,806,814)		(57,606,633)	6,329,684,302	942,424,958,561	1,024,872,860,304	25,881,359,909	1,050,754,220,213	Balance before restatement at beginning of period
Penyesuaian											Adjustments
Koreksi kesalahan periode lalu							72,199,125	72,199,125		72,199,125	Correction of prior period errors
Posisi ekuitas, awal periode	90,000,000,000	4,585,630,888	(18,409,806,814)		(57,606,633)	6,329,684,302	942,497,157,686	1,024,945,059,429	25,881,359,909	1,050,826,419,338	Equity position, beginning of the period
Laba (rugi)							249,643,881,946	249,643,881,946	4,149,861,360	253,793,743,306	Profit (loss)
Pendapatan komprehensif lainnya				6,785,869,500				6,785,869,500		6,785,869,500	Other comprehensive income
Pembentukan cadangan umum						200,000,000	(200,000,000)				Allocation for general reserves
Distribusi dividen kas							(57,166,472,000)	(57,166,472,000)	(1,600,000,000)	(58,766,472,000)	Distributions of cash dividends
Posisi ekuitas, akhir periode	90,000,000,000	4,585,630,888	(18,409,806,814)	6,785,869,500	(57,606,633)	6,529,684,302	1,134,774,567,632	1,224,208,338,875	28,431,221,269	1,252,639,560,144	Equity position, end of the period

[5510000] Statement of cash flows, direct method - Security Industry

Laporan arus kas			Statement of cash flows
	30 September 2017	30 September 2016	
Arus kas dari aktivitas operasi			Cash flows from operating activities
Penerimaan komisi perantara pedagang efek	47,449,884,776	46,961,031,280	Receipts from brokerage commissions
Penerimaan jasa manajer investasi	182,873,979,034	228,883,458,063	Receipts from investment management fees
Penerimaan jasa penasehat keuangan	2,022,596,342	252,881,986	Receipts from financial advisory fees
Penerimaan dari lembaga kliring dan penjaminan	(125,575,785,700)	(138,741,571,400)	Receipts from clearing and guarantee institution
Penerimaan dari penjualan efek-efek	400,706,170,660	262,396,287,112	Proceeds on sale of marketable securities
Pembayaran untuk pembelian efek-efek	(408,091,629,089)	(276,297,724,305)	Payments for purchase of marketable securities
Penerimaan dari penjualan efek yang dibeli dengan janji dijual kembali	(151,935,799,881)	(47,520,995,983)	Proceeds on sale of securities purchased under agreement to resale
Penerimaan dari pelanggan	167,935,522,929	(159,152,680,262)	Receipts from customers
Penerimaan bunga dari aktivitas operasi	61,312,757,700	70,824,744,064	Interests received from operating activities
Pembayaran bunga dari aktivitas operasi	(12,972,702,969)	(21,756,083,221)	Interests paid from operating activities
Pembayaran gaji dan tunjangan	(62,748,999,918)	(57,937,183,146)	Payments for salaries and allowances
Pencairan (penempatan) deposito pada lembaga kliring dan penjaminan	(1,400,167,243)	(6,028,423,587)	Withdrawal (placement) of deposits to clearing and guarantee institution
Penerimaan pengembalian (pembayaran) pajak penghasilan dari aktivitas operasi	(35,699,934,464)	(30,534,739,182)	Income taxes refunded (paid) from operating activities
Penerimaan (pengeluaran) kas lainnya dari aktivitas operasi	(99,116,017,207)	(95,035,545,697)	Other cash inflows (outflows) from operating activities
Arus kas sebelum perubahan dalam aset dan liabilitas yang diperoleh dari (digunakan untuk) aktivitas operasi			Net cash flows received from (used in) operating activities before changes in assets and liabilities
Penurunan (kenaikan) aset operasi			Decrease (increase) from operation assets
Penurunan (kenaikan) efek-efek	4,520,913,120	5,664,482,683	Decrease (increase) from securities
Jumlah arus kas bersih yang diperoleh dari (digunakan untuk) aktivitas operasi	(30,719,211,910)	(218,022,061,595)	Total net cash flows received from (used in) operating activities
Arus kas dari aktivitas investasi			Cash flows from investing activities
Penerimaan dari penjualan aset tetap	874,254,545	590,909	Proceeds from disposal of property and equipment
Pembayaran untuk perolehan aset tetap	(1,677,015,940)	(878,815,601)	Payments for acquisition of property and equipment
Penerimaan dari penjualan aset non-keuangan lainnya	25,500,000	414,800	Proceeds from disposal of other non-financial assets

Pembayaran untuk perolehan aset non-keuangan lainnya	(235,699,000)	(430,165,845)	Payments for acquisition of other non-financial assets
Jumlah arus kas bersih yang diperoleh dari (digunakan untuk) aktivitas investasi	(1,012,960,395)	(1,307,975,737)	Total net cash flows received from (used in) investing activities
Arus kas dari aktivitas pendanaan			Cash flows from financing activities
Penerimaan pinjaman bank	400,000,000,000	402,000,000,000	Proceeds from bank loans
Pembayaran pinjaman bank	(232,000,000,000)	(121,000,000,000)	Payments of bank loans
Pembayaran bunga dari aktivitas pendanaan	(129,024,562,000)	(58,206,472,000)	Interests paid from financing activities
Jumlah arus kas bersih yang diperoleh dari (digunakan untuk) aktivitas pendanaan	38,975,438,000	222,793,528,000	Total net cash flows received from (used in) financing activities
Jumlah kenaikan (penurunan) bersih kas dan setara kas	7,243,265,695	3,463,490,668	Total net increase (decrease) in cash and cash equivalents
Kas dan setara kas arus kas, awal periode	15,219,197,700	3,932,888,425	Cash and cash equivalents cash flows, beginning of the period
Kas dan setara kas arus kas, akhir periode	22,462,463,395	7,396,379,093	Cash and cash equivalents cash flows, end of the period